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SEP 05 2006

Attorney Docket No. 01711/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Shigenori MORIKAWA  
Serial No. : 09/991,774  
Filed : November 5, 2001  
For : DATA COMMUNICATION TERMINAL  
THAT WARNS A USER WHEN A  
DATA TRANSMISSION AMOUNT  
LIMIT IS REACHED  
Art Unit : 2667  
Conf. No. : 5900  
Examiner : Blanche Wong

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)  
AND STATEMENT UNDER 37 CFR 1.704(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a Japanese Office Action dated August 15, 2006  
(and English translation thereof) issued in a  
counterpart Japanese application;
- (2) Copies of cited publications; and
- (3) Form PTO/SB/08A.

An English translation of said Japanese Office Action is  
provided, thereby satisfying the requirements for a concise  
explanation of relevance for any non-English language  
publications cited therein (MPEP 609.04(a) III)).

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TOTAL PAGES: 26  
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Diane J. Hogstrom

Dated: September 5, 2006

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is not filed concurrently herewith, please  
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Application No. 09/991,774  
IDS

Customer No. 01933

**STATEMENT UNDER 37 CFR 1.97(e) (1)**

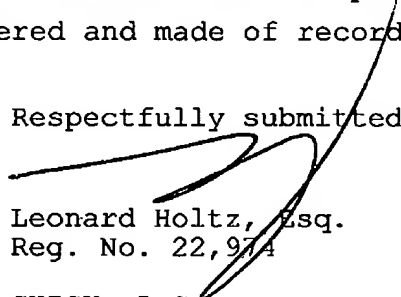
Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of August 15, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

**STATEMENT UNDER 37 CFR 1.704(d)**

Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

  
Leonard Holtz, Esq.  
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**SEP 05 2006**

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/991,774
				Filing Date	November 5, 2001
				First Named Inventor	Shigenori MORIKAWA
				Group Art Unit	2667
				Examiner Name	Blanche Wong
Sheet	1	of	1	Attorney Docket Number	01711/LH

**U.S. PATENT DOCUMENTS**

Exam. Inits <sup>*</sup>	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No <sup>1</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		JP	2000-36831			02-02-2000		
		JP	7-162459			06-23-1995		

Examiner Signature		Date Considered	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: **September 5, 2006**